

Report Identification Number: AL-19-033

Prepared by: New York State Office of Children & Family Services

**Issue Date: Apr 07, 2020** 

This report, prepared pursuant to section 20(5) of the Social Services Law (SSL), concerns:  A report made to the New York Statewide Central Register of Child Abuse and Maltreatment (SCR) involving the death of a child.
The death of a child for whom child protective services has an open case.
The death of a child whose care and custody or custody and guardianship has been transferred to an authorized agency.
The death of a child for whom the local department of social services has an open preventive service case.

The Office of Children and Family Services (OCFS) is mandated by section 20 of the SSL to investigate or cause for the investigation of the cause and circumstances surrounding the death, review such investigation, and prepare and issue a fatality report in regard to the categories of deaths noted above involving a child, except where a local or regional fatality review team issues a report, as authorized by law.

Such report must include: the cause of death; the identification of child protective or other services provided or actions taken regard to such child and child's family; any extraordinary or pertinent information concerning the circumstances of the child's death; whether the child or the child's family received assistance, care or services from the social services district prior to the child's death; any action or further investigation undertaken by OCFS or the social services district since the child's death; and as appropriate, recommendations for local or state administrative or policy changes.

This report contains no information that would identify the deceased child, his or her siblings, the parent, parents, or other persons legally responsible for the child, and any members of the deceased child's household.

By statute, this report will be forwarded to the social services district, chief county executive officer, chairperson of the local legislative body of the county where the child died and the social services district that had legal custody of the child, if different. Notice of the issuance of this report will be sent to the Speaker of the Assembly and the Temporary President of the Senate of the State of New York.

This report may <u>only</u> be disclosed to the public by OCFS pursuant to section 20(5) of the SSL. It may be released by OCFS only after OCFS has determined that such disclosure is not contrary to the best interests of the deceased child's siblings or other children in the household.

OCFS' review included an examination of actions taken by individual caseworkers and supervisors within the social services district and agencies under contract with the social services district. The observations and recommendations contained in this report reflect OCFS' assessment and the performance of these agencies.



## **Abbreviations**

	Relationships	
BM-Biological Mother	SM-Subject Mother	SC-Subject Child
BF-Biological Father	SF-Subject Father	OC-Other Child
MGM-Maternal Grand Mother	MGF-Maternal Grand Father	FF-Foster Father
PGM-Paternal Grand Mother	PGF-Paternal Grand Father	DCP-Day Care Provider
MGGM-Maternal Great Grand Mother	MGGF-Maternal Great Grand Father	PGGF-Paternal Great Grand Father
PGGM-Paternal Great Grand Mother	MA/MU-Maternal Aunt/Maternal Uncle	PA/PU-Paternal Aunt/Paternal Uncle
FM-Foster Mother	SS-Surviving Sibling	PS-Parent Sub
CH/CHN-Child/Children	OA-Other Adult	
	Contacts	
LE-Law Enforcement	CW-Case Worker	CP-Case Planner
DrDoctor	ME-Medical Examiner	EMS-Emergency Medical Services
DC-Day Care	FD-Fire Department	BM-Biological Mother
CPS-Child Protective Services		
	Allegations	
FX-Fractures	II-Internal Injuries	L/B/W-Lacerations/Bruises/Welts
S/D/S-Swelling/Dislocation/Sprains	C/T/S-Choking/Twisting/Shaking	B/S-Burns/Scalding
P/Nx-Poisoning/ Noxious Substance	XCP-Excessive Corporal Punishment	PD/AM-Parent's Drug Alcohol Misuse
CD/A-Child's Drug/Alcohol Use	LMC-Lack of Medical Care	EdN-Educational Neglect
EN-Emotional Neglect	SA-Sexual Abuse	M/FTTH-Malnutrition/Failure-to-thrive
IF/C/S-Inadequate Food/ Clothing/ Shelter	IG-Inadequate Guardianship	LS-Lack of Supervision
Ab-Abandonment	OTH/COI-Other	
	Miscellaneous	
IND-Indicated	UNF-Unfounded	SO-Sexual Offender
Sub-Substantiated	Unsub-Unsubstantiated	DV-Domestic Violence
LDSS-Local Department of Social	ACS-Administration for Children's	NYPD-New York City Police
Service	Services	Department
PPRS-Purchased Preventive Rehabilitative Services	TANF-Temporary Assistance to Needy Families	FC-Foster Care
MH-Mental Health	ER-Emergency Room	COS-Court Ordered Services
OP-Order of Protection	RAP-Risk Assessment Profile	FASP-Family Assessment Plan
FAR-Family Assessment Response	Hx-History	Tx-Treatment
CAC-Child Advocacy Center	PIP-Program Improvement Plan	yo- year(s) old
CPR-Cardiopulmonary Resuscitation	ASTO-Allowing Sex Abuse to Occur	



#### **Case Information**

Report Type: Child Deceased Jurisdiction: Albany Date of Death: 11/14/2019

Age: 2 month(s) Gender: Female Initial Date OCFS Notified: 11/14/2019

#### **Presenting Information**

An SCR report alleged the infant resided with the mother, father, and two siblings ages nine and two years old. On 11/14/19, the infant presented to the emergency room at 5:14 AM in cardiac arrest and was pronounced deceased. The circumstances surrounding the infant's death were unknown. The police found a pacifier and a blanket on the bed, but it was unknown if the infant was in the bed when she was found or if she was transferred from her crib. The infant had been deceased for at least three hours when law enforcement arrived at the home, as rigor mortis had begun to set in. The infant had received her two-month-old shots on 11/11/19, and she developed cold-like symptoms and a cough after her shots. There was a history of the father being verbally aggressive with the mother in the hospital maternity room after the infant was born.

#### **Executive Summary**

On 11/14/19, the Albany County Department for Children, Youth and Families (ACDCYF) received an SCR report regarding the death of the two-month old female infant that occurred on that date. At the time of the infant's death, she resided with her mother, father and two siblings, ages nine and two years old. The mother's adult friend (other adult) and the friend's three-year-old daughter (other child) were temporarily staying with the family and were in the home on the night of the incident. The father of the nine-year-old sibling resided out of the country and had little contact with the sibling.

Through a joint investigation with law enforcement, it was learned on the night of 11/13/19, the mother co-slept with the infant and nine-year-old sibling in the adult bed and the father slept with the two-year-old sibling in another bedroom. The mother got up around 4:45 AM and went into the kitchen with the father. The father left for work and when the mother returned to the bedroom, she realized the infant was not breathing. The mother screamed and the other adult entered the bedroom and took the infant from the mother's arms. The mother called 911 at 5:02 AM but due to a language barrier, she was unable to understand the 911 dispatcher. She gave the phone to the other adult, who spoke to the dispatcher. The mother contacted the father and he immediately returned home. He brought the infant outside to first responders, who performed CPR and transported the infant to the hospital. Resuscitative efforts were unsuccessful, and the infant was pronounced deceased at 5:31 AM.

An autopsy was performed, and the final pathological diagnosis was "history of sleep-surface sharing and pulmonary edema, vascular congestion, hemorrhage, and mild patchy peribronchiolar chronic inflammation". The report further stated, "death is attributed to pulmonary abnormalities; however, it is difficult to be certain to what extent the abnormalities reflect sleep surface sharing, or a pulmonary viral infection." The ME reported the infant could have died from a pulmonary infection or accidental affixation; therefore, the manner of death was undetermined. The law enforcement investigation closed with no criminal charges filed.

The home was assessed to contain no safety hazards and ACDCYF assessed the safety of the siblings throughout the investigation. Upon receipt of the SCR report, a temporary safety plan was initiated that the father would leave the home due to a recent altercation that took place between the parents. The father returned to the home during the investigation and no further incidents were reported.

ACDCYF conducted a thorough investigation and contacted numerous collaterals. Information gathered supported the decision to substantiate the allegations of DOA/Fatality and Inadequate Guardianship against the parents. The parents

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admitted they placed the infant face-down on the adult bed on the night of the incident and that the mother co-slept with the infant and nine-year-old sibling. The parents were referred for mental health and grief counseling and the sibling engaged in grief counseling at school. The mother accepted Preventive Services and a services case opened for a short time, then closed when the mother declined the need for services any longer.

### **PIP Requirement**

For issues identified in a historical case, ACDCYF will submit a PIP to the Albany Regional Office within 30 days of receipt of this report. The PIP will identify action(s) the ACDCYF has taken, or will take, to address the cited issue(s). For issues where a PIP is currently implemented, ACDCYF will review the plan and revise as needed to address ongoing concerns.

Findings Related to the CPS Investigation of the Fatality							
Safety •	Assessment: Was sufficient information gathered to make the decision recorded on						
	the:						
	<ul> <li>Approved Initial Safety Assessment?</li> </ul>	Yes					
	o Safety assessment due at the time of determination?	Yes					
•	Was the safety decision on the approved Initial Safety Assessment appropriate?	Yes					
Detern	nination:						
•	Was sufficient information gathered to make determination(s) for all allegations as well as any others identified in the course of the investigation?	Yes, sufficient information was gathered to determine all allegations.					
•	Was the determination made by the district to unfound or indicate appropriate?	Yes					
Explai							
The de	ecision to indicate the case and open a Preventive Services case was appropri	ate.					
Was th	ne decision to close the case appropriate?	N/A					
	asework activity commensurate with appropriate and relevant statutory ulatory requirements?	Yes					
Was th	nere sufficient documentation of supervisory consultation?	Yes, the case record has detail of the consultation.					
Explai Casew	in: ork activity was commensurate with case circumstances.						

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Are there Required Actions related to the compliance issue(s)? Yes No

**Required Actions Related to the Fatality** 



### **Fatality-Related Information and Investigative Activities**

	Incident	t Information		
<b>Date of Death:</b> 11/14/2019		Time of Death: 0	5:31 AM	
Time of fatal incident, if differen	ent than time of death:			Unknown
County where fatality incident	occurred:			Albany
Was 911 or local emergency nu	mber called?			Yes
Time of Call:				05:02 AM
Did EMS respond to the scene?	)			Yes
At time of incident leading to d	eath, had child used alco	hol or drugs?		N/A
Child's activity at time of incide	ent:			
	☐ Working		Driving / Vel	nicle occupant
☐ Playing	Eating		Unknown	
Other				
Did child have supervision at ti	_			
How long before incident was t	_	etaker? 3 Hours		
At time of incident supervisor v	was:			
Drug Impaired		Absent		
Alcohol Impaired		⊠ Asleep		
Distracted		Impaired b	oy illness	
Impaired by disability		Other:		
Total number of deaths at incid	lent event:			
Children ages 0-18: 1				
Adults: 0				

#### **Household Composition at time of Fatality**

Household	Relationship	Role	Gender	Age
Deceased Child's Household	Deceased Child	Alleged Victim	Female	2 Month(s)
Deceased Child's Household	Father	Alleged Perpetrator	Male	31 Year(s)
Deceased Child's Household	Mother	Alleged Perpetrator	Female	30 Year(s)
Deceased Child's Household	Sibling	No Role	Male	9 Year(s)
Deceased Child's Household	Sibling	No Role	Male	2 Year(s)

#### **LDSS Response**

ACDCYF initiated their investigation within 24 hours of receipt of the report. They spoke to law enforcement, the source of the report, a neighbor, the paternal aunt and another family member. ACDCYF conducted interviews with the mother,



father, other adult and nine-year-old sibling, and they attempted to interview the two-year-old sibling and other child.

The parents reported the infant had a fever, stuffy nose and had been vomiting since she received immunizations on 11/11/19. They denied that they took the infant's temperature or contacted the doctor since the doctor had told them it was normal for infants to have a fever following immunizations. The mother gave the infant children's fever reducer on the nights of 11/12/19 and 11/13/19. The infant normally slept in a crib or co-sleeper in the parent's bedroom. The parents had a physical altercation on 11/11/19 and the father slept in the two-year-old sibling's bedroom since that date.

On 11/13/19, the nine-year-old sibling slept across the foot of the mother's bed. At 9:45 PM, the mother placed the infant to sleep in her crib then a short time later moved her to the co-sleeper since she was fussy. The mother tried to feed the infant during the night, but she wouldn't eat, and she was having a hard time breathing so the father suctioned the infant's nose with a bulb syringe. Around midnight the infant was stuffy and vomiting so the mother placed the infant on her stomach in the bed next to her. The mother got up with the infant around 2:00 AM and at that time the infant was on her back and she appeared to be fine. The mother turned the infant over onto her stomach and she fell back asleep. The mother woke up at 4:45 AM and went into the kitchen. She did not check on the infant until she returned to the bedroom after the father left for work. The father's account was consistent with the mother's timeline of events. He reported he had only been gone a few minutes when the mother called and told him the infant wasn't breathing. The sibling reported falling asleep before the mother and infant and he woke up to the mother screaming that the infant was not breathing.

The other adult confirmed the infant had been sick. She heard the mother screaming around 5:00 AM and she ran into the mother's bedroom. The infant was cold to the touch and appeared to be deceased. She had no concerns for the parents' care of the children, but she expressed concern about the parent's recent physical altercation. ACDCYF made several attempts to speak to the father of the nine-year-old sibling and they were unsuccessful.

First responders reported the father handed the infant to them and he was cold, pale and in rigor mortis. Pediatrician records confirmed the infant was seen on 11/11/19 for a well child visit and the mother expressed concerns for reflux. The records reflected the children were healthy and there no concerns noted for the children.

#### Official Manner and Cause of Death

Official Manner: Undetermined

Primary Cause of Death: From a medical cause

Person Declaring Official Manner and Cause of Death: Medical Examiner

#### Multidisciplinary Investigation/Review

Was the fatality investigation conducted by a Multidisciplinary Team (MDT)?Yes

Was the fatality reviewed by an OCFS approved Child Fatality Review Team? Yes

#### **SCR Fatality Report Summary**

Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome
053441 - Deceased Child, Female, 2 Mons	053442 - Mother, Female, 30 Year(s)	DOA / Fatality	Substantiated
053441 - Deceased Child, Female, 2 Mons	l ' '	Inadequate Guardianship	Substantiated

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053441 - Deceased Child, Female, 2 Mons	053443 - Father, Male, 31 Year(s)	DOA / Fatality	Substantiated
053441 - Deceased Child, Female, 2 Mons	053443 - Father, Male, 31 Year(s)	Inadequate Guardianship	Substantiated

### **CPS Fatality Casework/Investigative Activities**

	Yes	No	N/A	Unable to Determine
All children observed?	$\boxtimes$			
When appropriate, children were interviewed?	$\boxtimes$			
Alleged subject(s) interviewed face-to-face?	$\boxtimes$			
All 'other persons named' interviewed face-to-face?		$\boxtimes$		
Contact with source?	$\boxtimes$			
All appropriate Collaterals contacted?	$\boxtimes$			
Was a death-scene investigation performed?	$\boxtimes$			
Was there discussion with all parties (youth, other household members, and staff) who were present that day (if nonverbal, observation and comments in case notes)?	$\boxtimes$			
Coordination of investigation with law enforcement?	$\boxtimes$			
Was there timely entry of progress notes and other required documentation?				

#### **Additional information:**

There were unsuccessful attempts to speak to the father of the nine-year-old sibling.

### **Fatality Safety Assessment Activities**

	Yes	No	N/A	Unable to Determine
Were there any surviving siblings or other children in the household?	$\boxtimes$			
Was there an adequate assessment of impending or immediate danger to shousehold named in the report:	urviving	siblings/o	ther child	dren in the
Within 24 hours?	$\boxtimes$			
At 7 days?	$\boxtimes$			
At 30 days?	$\boxtimes$			
Was there an approved Initial Safety Assessment for all surviving siblings/ other children in the household within 24 hours?	$\boxtimes$			
Are there any safety issues that need to be referred back to the local district?				

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When safety factors were present that placed the surviving siblings/other children in the household in impending or immediate danger of serious harm, were the safety interventions, including parent/caretaker actions adequate?							
Fatali Fatali	ity Risk Asse	ssment / Risl	k Assessment	Profile			
				1	1		TT 11 /
				Yes	No	N/A	Unable to Determine
Was the risk assessment/RAP adequate	in this case	?					
During the course of the investigation, we gathered to assess risk to all surviving si household?							
Was there an adequate assessment of the	e family's r	need for ser	vices?				
Did the protective factors in this case rein Family Court at any time during or a	•		-		$\boxtimes$		
Were appropriate/needed services offere							ΙП
Explain: Risk was adequately assessed and a Preven			opened to n		mily's need	ds.	
					-		
Placement	<b>Activities in</b>	Response to	the Fatality	Investigatio	n		
		response to	the rutuity	an i congress			
		response to					T 11 4
		response to	the 1 deality	Yes	No	N/A	Unable to Determine
Did the safety factors in the case show the siblings/other children in the household care at any time during this fatality investigation.	ne need for be remove	the survivi	ing			N/A	
Did the safety factors in the case show the siblings/other children in the household	ne need for be remove estigation? usehold tha	the survivi d or placed at were rem	ing in foster	Yes	No	N/A	
Did the safety factors in the case show the siblings/other children in the household care at any time during this fatality investive there surviving children in the house as a result of this fatality report / investi	ne need for be remove estigation? usehold tha gation or fo	the survivi d or placed at were rem or reasons	ing in foster loved either unrelated	Yes	No 🖂		Determine
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Did the safety factors in the case show the siblings/other children in the household care at any time during this fatality investions as a result of this fatality report / investion to this fatality?  Was there legal activity as a result of the	ne need for be remove estigation? usehold that gation or fo Legal Activ	the survivid or placed  It were remor reasons	ing in foster loved either unrelated	Yes Daniel and the second seco	No Setivity.		Determine
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Did the safety factors in the case show the siblings/other children in the household care at any time during this fatality investion where there surviving children in the hou as a result of this fatality report / investion to this fatality?  Was there legal activity as a result of the Services Foreign Services	ne need for be removed estigation? usehold that gation or for the second	the survivid or placed of the surviving the survivity Related to the survivity Related to the Family in the Family in the but	ing in foster  oved either unrelated  to the Fatality There was  Response to	Yes  Output  In the Fatality  Not	No  No  No  Needed but	I N/A	Determine  CDR Lead to
Did the safety factors in the case show the siblings/other children in the household care at any time during this fatality investion where there surviving children in the hou as a result of this fatality report / investion to this fatality?  Was there legal activity as a result of the Services F	ne need for be removed estigation? usehold that gation or for the latest provided to the latest provided to the latest provided After	the survivid or placed of the surviving the survivity Related to the survivity Related to the Family in the Family in the but	ing in foster loved either unrelated to the Fatality There was Response to Offered, Unknown	Yes  Output  In the Fatality  Not	No  No  No  Needed but	I N/A	Determine  CDR Lead to

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Office of Children STATE and Family Services	Child	Fatalit	y Report	t	
Housing assistance					
Mental health services					
Foster care					
Health care					
Legal services					
Family planning					
Homemaking Services					
Parenting Skills					
Domestic Violence Services					
Early Intervention					
Alcohol/Substance abuse					
Child Care					
Intensive case management					
Family or others as safety resources					
Other					

The nine-year-old sibling engaged in grief counseling through school and the mother accepted Preventive Services. The mother later declined the need for services and the Preventive Services case closed on 1/22/20.

Were services provided to siblings or other children in the household to address any immediate needs and support their well-being in response to the fatality? Yes

#### **Explain:**

The nine-year-old sibling engaged in grief counseling at school.

Were services provided to parent(s) and other care givers to address any immediate needs related to the fatality? Yes

#### **Explain:**

The parents were referred for grief counseling, mental health counseling and Preventive Services and the mother was referred for DV services.

### **History Prior to the Fatality**

Child Information	
Did the child have a history of alleged child abuse/maltreatment?	Yes
Was the child ever placed outside of the home prior to the death?	No
Were there any siblings ever placed outside of the home prior to this child's death?	No
Was the child acutely ill during the two weeks before death?	No
Infants Under One Year Old	

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During pregnancy, mother:  ☐ Had medical complications / infections ☐ Misused over-the-counter or prescription drugs ☐ Experienced domestic violence ☐ Was not noted in the case record to have any of the issues listed		☐ Had heavy alcohol use ☐ Smoked tobacco ☐ Used illicit drugs					
Infant was ☐ Drug ex ☐ With ne		a case record	☐ With fetal a	lcohol effects or	syndrome		
CPS - Investigative History Three Years Prior to the Fatality							
Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)		
09/12/2019	Deceased Child, Female, 1 Days	Father, Male, 31 Years	Inadequate Guardianship	Unsubstantiated	Yes		
	Sibling, Male, 1 Years	Father, Male, 31 Years	Inadequate Guardianship	Unsubstantiated			
visiting the	mmary: port alleged the mother gave bir mother in the hospital. A verba in the presence of the children.	l dispute ensued betwee	n the mother and father a	and the father was			
Report De	oort Determination: Unfounded Date of Determination: 12/04/2019						
The nine-yaphysical viasked to leafree from nather moved death was in OCFS Revertee and the initiated the	Determination: ear-old sibling denied witnessing olence but reported emotional above by hospital staff. There was narks and bruises and appeared the dout of the home and the child investigated in a subsequent SCF riew Results: educated the mother about safe seemother for DV services and the latter the father move out of the home and provided with a Notice of Exercise 1.	buse by the father. The sono apparent negative af to be well-cared for. The ren continued to reside R report.  Sleep and observed an age mother declined. Afterne and a Preventive Server.	father argued with the more fect on the children. The e home was observed to consider with the mother. The infator propriate crib and supplier the infant's death, a safe vices case was opened. T	other at the hospit children were ob contain no safety ant died on 11/14. The second s	tal and was served to be hazards. The /19, and her  ACDCYF opriately nine-year-old		
	Required Actions related to th	e compliance issue(s)?	Yes No				
Issue: Failure to p Summary: ACDCYF of with him al Legal Reference	provide notice of report  did not provide a Notice of Exist  bout the report.			ing or make effor	t to speak		

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ACDCYF will make diligent efforts to contact absent parent(s) of children named in a report and will send a Notice of Existence letter if contact information is available within seven days of receipt of the report.

CPS - Investigative History More Than Three Years Prior to the Fatality		
There is no CPS history more than three years prior to the fatality.		
Known CPS History Outside of NYS		
There is no CPS history outside of New York State.		
Legal History Within Three Years Prior to the Fatality		
Was there any legal activity within three years prior to the fatality investigation? There was no legal activity		
Recommended Action(s)		
Are there any recommended actions for local or state administrative or policy changes? Yes No		
Are there any recommended actions for local of state administrative of poncy changes: The Mino		
Are there any recommended prevention activities resulting from the review?   Yes  No		